



Data protection information

for JENOPTIK Aktiengesellschaft shareholders and proxies

on the occasion of the 2020 virtual Annual General Meeting

The information below covers how your personal data are processed by JENOPTIK AG (hereafter also referred to as the “company”) and sets out your rights under the Regulation (EU) 2016/679 of the European Parliament and of the Council of April 27, 2016 on the protection of natural persons with regard to the processing of personal data, on the free movement of data, and on repealing Directive 95/46/EC (“**General Data Protection Regulation**” or “**GDPR**”) and the Federal Data Protection Act (“**BDSG**”) on the occasion of the company’s virtual Annual General Meeting on August 7, 2020.

1. Name and contact details of the person responsible

The contact details of the company as responsible body are

JENOPTIK Aktiengesellschaft
Carl-Zeiß-Straße 1
07743 Jena, Germany
Fax: +49 3641 65 2804
Email: ir@jenoptik.com

The company is legally represented by the members of its Executive Board, Dr. Stefan Traeger and Mr. Hans-Dieter Schumacher.

2. Name and contact details of the data protection officer

Data Protection Officer of JENOPTIK AG
Ms. Nicole Meerstedt
JENOPTIK AG
Carl-Zeiss-Straße 1
07745 Jena
Tel: +49 3641 65 2340
Email: data-protection.joag@jenoptik.com

3. Purposes and legal basis of the processing

The company holds registered shares. To enable shareholders and shareholder representatives to exercise their rights in the virtual Annual General Meeting, the company processes the following

personal data in the share register on the basis of the applicable data protection regulations pursuant to Section 4 (7) of the GDPR:

- personal data (e.g. full name, date of birth, and email address (if provided)),
- contact information: (e.g. address and, as applicable, name and address of the proxy authorized by the shareholder),
- information on shares (e.g. number of shares, class of shares, type of share ownership), and administrative data (e.g. shareholder number).

The financial institutions involved in acquiring or holding your shares will further forward any information relevant to the share register or communication with you (e.g. nationality, title, gender) to Clearstream Banking AG, which is responsible for technical processing of securities transactions.

If you or your proxy wish to make use of the opportunity to ask questions before the virtual Annual General Meeting as provided for by law, or submit a counter-motion or a motion to amend the agenda, the company will process your name (or the name of your proxy) and, as applicable, the company name, and, if you make use of the right to ask questions, your shareholder number, date of birth, address, number of shares and your email address.

The company is legally obliged to conduct the Annual General Meeting in accordance with the provisions of the German Stock Corporation Act (*AktG*) in conjunction with the Act to Mitigate the Consequences of the COVID-19 Pandemic under Civil, Insolvency and Criminal Procedure Law of March 27, 2020 ("COVID-19 Act"). The processing of personal data of the shareholders is required for the participation in the virtual Annual General Meeting, and for exercising the right to vote as well as other exercisable shareholder rights. The company is the controller responsible for data processing. The legal basis for data processing is Section 6 (1) (c) and (f) of the GDPR in conjunction with the relevant provisions of the Stock Corporation Act and the COVID-19 Act. If you have provided us with an email address for electronic dispatch of your invitation to the Annual General Meeting, we will process your personal data on the basis of your consent pursuant to Section 6 (1) (a) of the GDPR.

The personal data of the shareholders or their proxies will only be processed for the purpose of registering for the virtual Annual General Meeting, for following the broadcast (video and audio) of the virtual Annual General Meeting, for using the proxy forms, for exercising voting rights and other shareholders rights at the virtual Annual General Meeting including the opportunity to ask questions and to exercise the right to declare objections to a resolution by the Annual General Meeting, for drawing up the minutes of the Annual General Meeting, and for fulfilling the company's obligations during and after the virtual Annual General Meeting under the Stock Corporation Act.

4. Recipients of personal data

The company will not pass on any personal information to third parties. Third parties contracted for the purpose of preparing for and conducting the Annual General Meeting will receive from the company the personal data required to carry out their contracted services. They are contractually bound as processors pursuant to Section 28(3) of the GDPR and process the data solely in accordance with the company's instructions. Such third parties may be companies providing services for Annual General Meetings, e.g. agencies, lawyers, or auditors. They also include Computershare Deutschland GmbH & Co. KG, Elsenheimerstraße 61, D-80687 Munich, which has been contracted by the company to provide services for the Annual General Meeting.

The company may also be required to transfer your personal data to public authorities in fulfillment of statutory notification obligations (e.g. if voting rights exceed or fall below legal thresholds).

With regard to the transfer of personal data to third parties in the context of announcements of shareholder requests for additions to the agenda and of counter motions and shareholder proposals for election, reference is made to the notes given in the "Shareholder rights" section of the invitation to the virtual Annual General Meeting on August 7, 2020.

5. Processing of data in countries outside the European Economic Area

The company does not intend to transfer your personal data to a third country outside the European Economic Area (EEA) or to an international organization. Should the company pass on personal data to service providers outside the European Economic Area (EEA) in connection with the Annual General Meeting and its preparation, the transfer will only take place if the third country has been confirmed to have an appropriate level of data protection by the EU Commission or if other suitable data protection safeguards (e.g. binding internal company data protection regulations or agreement on the standard contractual clauses of the EU Commission) are in place. You may request detailed information on this and the level of data protection from our service providers in third countries using the contact information set out above.

6. Duration of storage

Subject to statutory provisions that enter into force following the Annual General Meeting, the company will store personal data for a period of ten years, starting at the end of the year in which the virtual Annual General Meeting takes place, on the basis of current statutory retention obligations. In isolated cases, personal data may be stored for longer periods if further processing of the data is necessary for the purpose of processing motions, decisions, or legal proceedings related to the virtual Annual General Meeting.

7. Your rights as an affected person

At all times, shareholders and proxies have a right of access, a right to rectification, a right to restriction, a right to object, and a right to erasure of their personal data, as well as a right to data portability pursuant to Chapter III of the GDPR.

You can assert these rights against the company free of charge by using the contact information set out below:

JENOPTIK Aktiengesellschaft

Carl-Zeiß-Straße 1
07743 Jena, Germany
Fax: 03641 / 65 2804
Email: ir@jenoptik.com

You can access all relevant personal data concerning yourself that are stored in the share register at www.jenoptik.com/investors/annual-general-meeting at any time. In the event of any discrepancies, please contact your depository bank, which will make any necessary changes to the share register.

Pursuant to Section 77 of the GDPR, shareholders and proxies also have the right to lodge a complaint, in particular with the competent data protection supervisory authority at their habitual or permanent place of residence or in the Federal State in which the alleged infringement was committed.

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This is a translation of the original German-language text. JENOPTIK AG shall not assume any liability for the correctness of this translation. In case of difference of opinion the German text shall prevail.