



## Data protection information for JENOPTIK Aktiengesellschaft shareholders and proxies on the occasion of the 2019 Annual General Meeting

Since May 25, 2018, Regulation (EU) 2016/679 of the European Parliament and of the Council of April 27, 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data, and repealing Directive 95/46/EC ("**General Data Protection Regulation**" or "**GDPR**") and the Federal Data Protection Act ("**BDSG**") are to be applied in their most recent versions.

The information below covers how your personal data are processed by JENOPTIK AG (hereafter also referred to as the "company") and sets out your rights under data protection law on the occasion of the company's Annual General Meeting on June 12, 2019.

The company holds registered shares and, acting as the controller pursuant to Section 4 (7) of the GDPR, processes the following personal data in the share register: personal data (e.g. full name, date of birth, and email address (if provided)), contact information: (e.g. address and, as applicable, name and address of the proxy authorized by the shareholder), information: on shares (e.g. volume of shares, class of shares, type of share ownership), and administrative data (e.g. admission ticket number, shareholder number). These data are processed on the basis of applicable data protection regulations to enable shareholders and proxies to exercise their rights during the Annual General Meeting. The financial institutions involved in acquiring or holding your shares will further forward any information relevant to the share register or communication with you (e.g. nationality, title, gender) to Clearstream Banking AG, which is responsible for technical processing of securities transactions.

If you do not hold any shares in the company, are not authorized as a proxy by a shareholder, and would therefore attend the Annual General Meeting as a guest, we will process your name and/or company name (as applicable) to produce a guest ticket that you can then pick up directly in the Weimarhalle.

If you or your proxy wish to speak at the Annual General Meeting, make use of your right to information, or put forward a procedural or counter-motion, the company will process your name (or the name of your proxy) and, as applicable, your company name and the number of the list of voting slips in order to create a list of speakers and ensure the smooth course of the Annual General Meeting.

The company is legally represented by the members of its Executive Board, Dr. Stefan Traeger and Mr. Hans-Dieter Schumacher.

In its role as controller, the company's contact information is as follows:

JENOPTIK Aktiengesellschaft

Carl-Zeiß-Straße 1  
07743 Jena, Germany  
Fax: +49 3641 65 2804  
Email: ir@jenoptik.com

The company is legally obliged to conduct the Annual General Meeting in accordance with the provisions of the German Stock Corporation Act (*AktG*). Consent to process personal data is required from all shareholders as a condition of participation in the Annual General Meeting and to exercise their rights. The company is the controller responsible for data processing. The legal basis for data processing is Section 6 (1) (c) and (f) of the GDPR in conjunction with the relevant provisions of the Stock Corporation Act. If you have provided us with an email address for electronic dispatch of your invitation to the Annual General Meeting, we will process your personal data on the basis of your consent pursuant to Section 6 (1) (a) of the GDPR.

Your personal data as a shareholder will only be processed for the purpose of registering for the Annual General Meeting, for use of the proxy forms, to create the list of participants and list of voting rights, to draw up the minutes of the Annual General Meeting, and to fulfill the company's obligations during and after the Annual General Meeting under the Stock Corporation Act. The company will not pass on any personal information to third parties. Third parties contracted for the purpose of preparing for and conducting the Annual General Meeting will receive from the company the personal data required to carry out their contracted services. They are contractually bound as processors pursuant to Section 28(3) of the GDPR and process the data solely in accordance with the company's instructions. Such third parties may be companies providing services for Annual General Meetings, e.g. agencies, lawyers, or auditors. They also include Computershare Deutschland GmbH & Co. KG, Elsenheimerstraße 61, D-80687 Munich, which has been contracted by the company to provide services for the Annual General Meeting.

The company may also be required to transfer your personal data to public authorities in fulfillment of statutory notification obligations (e.g. if voting rights exceed or fall below legal thresholds).

With regard to the transfer of personal data to third parties in the context of announcements of shareholder requests for additions to the agenda and of counter motions and shareholder proposals for election, reference is made to the notes given in the "Shareholder rights" section of the invitation to the Annual General Meeting on June 12, 2019.

Subject to statutory provisions that enter into force following the Annual General Meeting, the company will store personal data for a period of ten years, starting at the end of the year in which the Annual General Meeting takes place, on the basis of current statutory retention obligations. In isolated cases, personal data may be stored for longer periods if further processing of the data is necessary for the purpose of processing motions, decisions, or legal proceedings related to the Annual General Meeting.

In addition, the list of participants will be stored for a period of two years following the end of the Annual General Meeting, since all participants at the Annual General Meeting have the right to inspect the collected data concerning themselves or their proxies in the list of participants.

The company does not intend to transfer your personal data to a third country outside the European Economic Area (EEA) or to an international organization. Should the company pass on personal data to service providers outside the European Economic Area (EEA) in connection with the Annual General Meeting and its preparation, the transfer will only take place if the third country has been confirmed to have an appropriate level of data protection by the EU Commission or if other suitable

data protection safeguards (e.g. binding internal company data protection regulations or agreement on the standard contractual clauses of the EU Commission) are in place. You may request detailed information on this and the level of data protection from our service providers in third countries using the contact information set out above.

At all times, shareholders and proxies have a right of access, a right to rectification, a right to restriction, a right to object, and a right to erasure of their personal data, as well as a right to data portability pursuant to Chapter III of the GDPR.

You can assert these rights against the company free of charge by using the contact information set out below:

JENOPTIK Aktiengesellschaft

Carl-Zeiß-Straße 1  
07743 Jena, Germany  
Fax: 03641 / 65 2804  
Email: [ir@jenoptik.com](mailto:ir@jenoptik.com)

You can access all relevant personal data concerning yourself that are stored in the share register at [www.jenoptik.com/investors/annual-general-meeting](http://www.jenoptik.com/investors/annual-general-meeting) at any time. In the event of any discrepancies, please contact your depository bank, which will make any necessary changes to the share register.

Pursuant to Section 77 of the GDPR, shareholders and proxies also have the right to lodge a complaint, in particular with the competent data protection supervisory authority at their habitual or permanent place of residence or in the Federal State in which the alleged infringement was committed.

You can contact the data protection officer for JENOPTIK AG at:

Data Protection Officer (External)  
Mr. Udo Pohl  
JENOPTIK AG  
Carl-Zeiss-Straße 1  
07745 Jena  
Tel: +49 3641 65 2235  
Email: [data-protection.joag@jenoptik.com](mailto:data-protection.joag@jenoptik.com)

\* \* \* \* \*