



Information for shareholders of JENOPTIK AG on data protection with regard to registered shares

The following information instructs you about the processing of your personal data by JENOPTIK AG, Jena (hereinafter referred to as “we”, “us” or “the company”) and the rights to which you are entitled in accordance with data protection law.

Your personal data is all the information that may be assigned to you as an identified or identifiable natural person (hereinafter referred to as “personal data”), such as your name or contact data. We take the protection of your personal data very seriously and process your data in accordance with the General Data Processing Regulation EU 2016/679 (“GDPR”) and the applicable national data protection laws.

Who is responsible for the data processing?

The person responsible (“Controller”) within the meaning of the GDPR and other national data protection laws of the Member States of the EU as well as other data protection regulations is:

JENOPTIK AG

Carl-Zeiss-Str. 1
DE-07743 Jena, Germany
Telephone: +49 3641 65-0
Telefax: +49 3641 424514
Email: info@jenoptik.com
Website: www.jenoptik.de

represented by the Executive Board:

Dr Stefan Traeger (Chairman) and Hans-Dieter Schumacher,
registered in the commercial register at the district court of Jena, HRB 200146.

You can reach our Data Protection Officer Mr. Udo Pohl (external Data Protection Officer for JENOPTIK AG) by mail at the above address or via email at udo.pohl@jenoptik.com.

What personal data do we process? For what purposes and on what legal basis do we process your personal data?

From whom do we receive your data?

The shares of JENOPTIK AG are registered shares. In the case of registered shares, section 67 of the German Stock Corporation Act stipulates that these must be recorded in the company's share register, stating the name, date of birth, address and number of shares held by the shareholder. The shareholder shall generally be required to provide the company with this information. Referred to a provision in the bylaws of JENOPTIK AG shareholders shall also provide their email address, to enable a direct dialogue between the company and the shareholders.





The banks involved in the acquisition or custody of your JENOPTIK AG registered shares regularly pass on to us the information relevant for managing the share register. This is done via Clearstream Banking Aktiengesellschaft, Mergenthalerallee 61, DE-65760 Eschborn, which as the central securities depository is responsible for the technical settlement of securities trades and the storage of shares for the banks. Should you sell your shares, the bank of the new purchaser will inform us of this.

The following personal data will be transmitted to us by the banks:

- First name, last name of the shareholder
- Date of birth
- Address of the shareholder
- Number of shares
- Amount of shares owned by the shareholder
- Email address of the shareholder
- Information of whether the shares are held by the shareholder itself or by a third party

We process your personal data exclusively for the purpose of managing the statutory share register of JENOPTIK AG.

The legal basis for the processing of your personal data is Art. 6.1.c of the GDPR in connection with our obligations under the German Stock Corporation Act.

Should we wish to process your personal data for a purpose other than that mentioned above, we shall inform you of this in advance within the framework of the statutory provisions and only arrange for this to be carried out if we are permitted to do so by a statutory authorization pursuant to Art. 6 of the GDPR.

To which categories of recipients do we pass on your information?

External service providers:

We use partly external service providers for the administration and technical management of the share register (share register service company, IT service provider) as well as for the handling of shareholders' meetings (AGM service provider). External service providers who work on our behalf are contractually bound as data processors in accordance with Article 28.3 of the GDPR. Computershare Deutschland GmbH & Co. KG, Elsenheimerstraße 61, DE-80687 Munich, is authorized to manage the share register of JENOPTIK AG, and performs this duty as a data processor within the meaning of Art. 28 of the GDPR.

Other recipients:

In addition, we may transfer your personal data to other recipients in accordance with Art. 6.1.c of the GDPR, insofar as we are legally required to do so, such as to official bodies to fulfill statutory reporting obligations (e.g. when exceeding statutory voting right thresholds), or provided that another legal basis as per Art. 6 of the GDPR entitles us to transfer your personal data.



For how long do we store your personal data?

We delete your personal data as soon as it is no longer required for the above-mentioned purposes and there is no statutory retention obligation regarding this data. Statutes such as the German Commercial Code, the Fiscal Code of Germany and the German Money Laundering Act specify corresponding burdens of proof and periods of retention. The retention periods are regularly up to ten years.

How do we transfer data to non-European countries?

We do not intend to transfer your personal data to a third country outside the European Economic Area (EEA) or to an international organization. Should we pass on personal data to service providers outside the European Economic Area (EEA), the transfer shall only take place if the third country has been confirmed to have an appropriate level of data protection by the EU Commission or if other appropriate data protection guarantees (e.g. binding internal company data protection regulations or agreements on the standard contractual clauses of the EU Commission) are in place. You may request detailed information on this and the level of data protection from our service providers in third countries at the above specified contact information.

What rights do you have as a data subject?

According to Art. 15 of the GDPR, you may request that the Controller named in this data privacy statement provides you with any information stored about you. In addition, under certain conditions you may request the rectification (Art. 16 of GDPR) or erasure (Art. 17 of GDPR) of your personal data. You may also have a right to restrict the processing of your personal data in accordance with Art. 18 of the GDPR and a right to have your personal data released to you in a structured, commonly used and machine-readable format in accordance with Art. 20 GDPR. Ultimately, you also have a right of objection in accordance with the conditions regulated in Art. 21 of the GDPR.

Would you like to complain about the handling of your data?

You may contact JENOPTIK AG's Data Protection Officer mentioned above or a data protection supervisory authority. The supervisory authority responsible for us is:

The State Commissioner for Data Protection and Freedom of Information Thuringia

PO box 900455
DE-99107 Erfurt, Germany

* * * * *