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Statement on the procurement of 'conflict minerals' as well as cobalt and mica by the Jenoptik Group

Our responsibility in the supply chain

As a signatory to the UN Global Compact, we are committed to our social responsibility. As a globally active company, we in the Jenoptik Group also bear responsibility for respecting human rights and protecting the environment within our supply chain. To this end, we take these aspects into account as part of our overall procurement strategy and select our suppliers according to corresponding CSR factors.

Our implementation of this responsibility

We expect and require our suppliers to comply with all applicable laws as a basic prerequisite for a business relationship. We contractually oblige our suppliers to comply with human rights and environmental requirements, to behave in line with the market and competition, to adhere to ethical principles and, if necessary, to disclose violations through our Code of Conduct for Business Partners.

In this context, we also attach particular importance to compliance with human rights and environmental regulations when extracting raw materials. Some of these raw materials are increasingly found in regions of the world where state control mechanisms are not well developed and where armed conflicts take place. - So-called "conflict minerals", defined for example in the Dodd-Frank Act and corresponding EU regulations. The term "conflict minerals" includes the minerals tin, tantalum, tungsten and gold (collectively referred to as "3TG"), whereby the actual place of extraction of the respective raw material is irrelevant. In addition to these conflict minerals, Jenoptik also carries out a corresponding due diligence process for the procurement of components that may contain cobalt and/or mica (hereinafter referred to together with conflict

minerals as "substances"). Jenoptik source these does not directly from mines in high-risk areas. In practice, the value chain consists of various substances stages. Before a material, product or purchased part reaches our production facilities, the supply chain usually includes several upstream stages, including mines, smelters, refineries, alloy manufacturers, traders and, where applicable, intermediaries, exporters, importers, and component manufacturers. Jenoptik carries out the approach of validating smelters and refineries for 3TG materials in cooperation with an external partner, as this validation plays a key role in the entire supply chain from the original site of extraction of the materials to the final product and inevitably the supply chain as a whole checked in must be order to ultimately be able to effectively prevent human rights violations.

Jenoptik follows the "Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk OECD's Areas". This means that we ask our direct suppliers to disclose the origin and certification status of the smelters and refineries involved. If the suppliers themselves are also not direct purchasers of the relevant raw materials, they are obliged to pass this request on to their own suppliers and thus to our indirect suppliers.

We encourage our own suppliers who deliver products containing 3TG substances to exclusively work with smelters and refineries that are certified accordingly or are on a so-called "whitelist"

March 2025

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